

11-01-04

en j

Attorney Docket No.:ULRF04036 Express Mail Label No. EV544477591US Client Matter No: 81065.0010.000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art No.: 1635

Examiner: Not yet accorded

In re Application of:

Suzanne T. Ildstad

Serial No.: 10/808,205

Filed: March 24, 2004

For: METHOD FOR IMPROVING BONE MARROW ENGRAFTMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY EXPRESS MAIL

Sir:

The undersigned hereby certifies that the attached:

- 1. Information Disclosure Statement;
- Form PTO/SB/08A, with copies of nonpatent publications;
- 3. Certificate of Mailing; and
- 4. Return card

relating to the above application, were deposited as "Express Mail" Mailing Label No. EV 322526936US United States Postal Service, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Date

State Smith Dank

Sarah Smith, Reg. No. 41,226 HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(720) 406-5385 Tel (720) 406-5301 Fax



Attorney Docket No.:ULRF04036 Express Mail Label No. EV544477591US Client Matter No: 81065.0010.000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Art Unit: 1635

Suzanne T. Ildstad

Examiner: Not yet accorded

Serial No. 10/808,205

Filed: March 24, 2004

For: METHOD FOR IMPROVING BONE MARROW ENGRAFTMENT

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting these references, no representation is made or implied that the references are or are not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of the cited non-U.S. patent publications are attached hereto.

No fee is believed due for this submittal. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Signed on 04. 39 200

Respectfully submitted.

8arah Smith, Reg. No. 41,226 HOGAN & HARTSON LLP

One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(720) 406-5385 Tel

(720) 406-5301 Fax

PIO/SB/08A (Sossitute fo

PIO/SB/08A (10/01) (\$0\stitute for form 1449A/PTO)

ATTY. DOCKET NO.ULRF04036 Client/Matter No. 81065.0010.000

FIRST NAMED INVENTOR: Suzanne T. Ildstad

**APPLICATION NO.** 10/808,205

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FILING DATE 03/24/2004

**ART UNIT: 1635** 

(Use several sheets if necessary) Sheet 1 of 3

## **U.S. PATENT DOCUMENTS**

			0.0.1 7.1	F141	DOCUMENTO				
Examiner Initials	Cite No.	Document No. No. – Kind Code	Publication Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figure Appear			
	1	5,635,156	06/03/1997		Ildstad				
	2	5,772,994	06/30/1999		Ildstad				
	3	5,876,692	03/02/1999		Ildstad				
	_		FOREIGN P	ATE	NT DOCUMENT	S			
Examine r Initials	Cite No.	Foreign Patent Doc cntry code – No. – Kind Code	3		ame of Patentee or Applicant of Cited Doc	Pages, Columns. Lines Where Relevant Passages or Relevant Figures Appear	TRANSLATION		
							YES	NO	
	•	OTHER PRIOR	ART - NON	PAT	ENT LITERATU	RE DOCUMENTS		<u> </u>	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published  GERHARD OPELZ, et al., "Induction of High Kidney Graft Survival Rate by Multiple Transfusion," The Lancet, 6/6/1981, pp. 1223-1225							
	5 SHIXIN QIN, et al., "Induction of Tolerance in Peripheral T Cells with Monoclonal Antibodie Eur. J. Immunology, 1990, Vol. 20, pp. 2737-2745  6 YEDIDA SHARABI, et al., "Mixed Chimerism and Permanent Specific Transplantation Tolera Induced by a Nonlethal Preparative Regimen," The Journal of Experimental Medicine, Vol. 2/1989, pp. 493-502								
7 S. SLAVIN, et al., "Transplantation Tolerance in Adult Rats Using Total Lymphoid Irradiati Permanent Survival of Skin, Heart, and Marrow Allografts," The Journal of Experimental Medicine, 1978, Vol. 147, pp. 700-707  8 SUSAN A. McCARTHY, et al., "Characterization of Host Lymphoid Cells in Antibody-Facilit Bone Marrow Chimeras," Transplantation, 7/1985, Vol. 40, No. 1, pp. 12-17								n:	
								ited	
	ANTHONY P. MONACO, et al., "Studies on Heterologous Anti-Lymphocyte Serum in Mice. III. Immunologic Tolerance and Chimerism Produced Across the H-2 Locus With Adult Thymectol and Anti-Lymphocyte Serum," Annals New York Academy of Sciences, 147(3): 190-209 (1966)								
	<ul> <li>DAVID L. DUNN, "Problems Related to Immunosuppression," Critical Care Clinics, Vol. 6, No. October 1990, pp. 955-977</li> <li>HIROAKI NAGANO, MD, "Chronic Allograft Failure: The Clinical Problem," The American Jour of the Medical Sciences, May 1997, Vol. 313, No. 5 pp. 305-309</li> </ul>							No. 4,	
								ournal	
	12	SHIXIN QUN, et al., "Induction of Classical Transplantation Tolerance in the Adult," J. Exp. Med., Vol. 169 (3/1989) pp. 779-794							
					<del></del>				
EXAMINER S	SIGNATI	RF				DATE CONCIDENCE			

**EXAMINER SIGNATURE** 

**DATE CONSIDERED** 

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PTO/SB/08A (10/01) (Substitute for form 1449A/PTO)				ATTY. DOCKET NO.I Client/Matter No. 810	APPLICATION NO. 10/808,205				
		ORMATION DISCLOS		FIRST NAMED INVENTOR: Suzanne T. Ilidstad					
	(Use s	several sheets if nece	FILING DATE March 24, 2004 ART UNIT			IIT: 1635	T: 1635		
			U.S. PATI	ENT DOCUMENTS					
Examiner Initials	Cite No.	Document No. No. – Kind Code	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figu Appear				
	<u></u>		FOR	REIGN PATENT DO	CUMENTS			,	
Examiner Initials	Cite No.	Foreign Patent Doc cntry code – No. – Kind Code	Publicatio n Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns. Lines Where Relevant Passages or Relevant Figures Appear		TRANSLATION		
							YES	NO	
	<u></u>	OTHER	PRIOR ART	- NON PATENT LIT	TERATURE O	OCUME.	NTO		
		VIII	FRIORARI	- NON FAILUI CII	EKATUKE D	OCUME	N15 	== <u></u>	
Examiner Initials	Cite No.	the fem (							
	13	MEGAN SYKES, et Tolerance," Immun	al., "Mixed Allo ology Today, \	ogeneic Chimerism as /ol. 9, No. 1 (1988) pp.	an Approach to	Transpla	antation		
<del></del>	14	4 Y.Y.L. YU, et al. "Murine Natural Killer Cells and Marrow Graft Rejection," Ann. Rev. Imm (1992) 10:189, pp.189-213							
	15	PETER HOGLUND, Transgene to C57B	et al. "Natural L Mice," J. Ex	Resistance Against Ly	/mphoma Grafts 3). pp. 1469-1474	Conveye	ed by H-2D	ď	
	Transgene to C57BL Mice," J. Exp. Med., Vol. 168 (1988), pp. 1469-1474  16 SUZANNE T. ILDSTAD, et al. "Reconstitution With Syngeneic Plus Allogeneic or Xenogeneic Bone Marrow Leads to Specific Acceptance of Allografts or Xenografts," Nature Vol. 307, 1/12/1984, pp. 168-170						ic		
	THOMAS R. SPITZER, et al., "Combined Histocompatibility Leukocyte Antigen-Matched Donor Bone Marrow and Renal Transplantation for Multiple Myeloma With End Stage Renal Disease: The Induction of Allograft Tolerance Through Mixed Lymphohematopoletic Chimerism," Transplantation, Vol. 68, No. 4. 8/27/1999, pp. 480-484							nor se:	
	YOLONDA L. COLSON, et al., "Mixed Allogeneic Chimerism in the Rat," Transplantation, Vol. 6 11/15/1995, pp. 971-980						ol. 60,		
	19	JAMES S. GAMMIE, MD, "Tacrolimus-Based Partial Conditioning Produces Stable Mixed Lymphohematopoietic Chimerism and Tolerance for Cardiac Allografts," Circulation 1998, pp. II-163-II-169						pp.	
	20	H. Y. KNOBLER, et a	al., "Tolerance nmunications,	to Donor-Type Skin in 8/1985, Vol. 40, No. 2	the Recipient of Transplantation	of a Bone	Marrow 3-225	_	
EXAMINER S	IGNATU			DATE CONSIDERED					
EXAMINER: In citation if not	nitial if o	citation considered, v	whether or not nsidered. Inclu	citation is in conformate copy of this form w	ance with MPEF	609; Dra	w line thro	ough	

PTO/SB/08A (10/01) (Substitute for form 1449A/PTO)					ATTY. DOCKET NO.ULRF04036 Client/Matter No. 81065.0003.0001			APPLICATION NO. 10/808,205				
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT					FIRST NAMED INVENTOR: Suzanne T. Ildstad						
	(Us	e several sheets if	nece	essary)	FILI	NG DATE March 24, 2	2004	ART UNIT: 1635				
	•	Sheet 3 of 3			1							
	<del></del>			U.S. P/	ATEN	T DOCUMENTS	I		<del></del>			
Examiner Initials		Cite Document No No. – Kind Coo		Publicat Date MM-DI YYYY	e or Applicant of Cited		Relevant Passages or Relevant					
	-											
				F	ORE	IGN PATENT DOC	UMENTS					
Examine r Initials	Cite No.			Publicatio n Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Doc		Lines Relevant or Releva	Columns. Where TRANSLATIC Passages ant Figures pear		ATION		
			$\top$		$\top$			Jeu.	YES	NO		
· · · ·		-	1									
		ОТН	IER	PRIOR A	RT –	NON PATENT LITI	ERATURE	DOCUME	NTS			
Examine r Initials	The state of the s							ropriate), title number(s) pul	of the item ( olisher, city	book, and/or		
	21	MOHAMED H. SAYEGH, MD, et al., "Immunologic Tolerance to Renal Allografts After Bone Marro Transplants From the Same Donors", Annals of Internal Medicine, June 1991, Vol. 114, No.11, pp 954-955								arrow , pp.		
	22	R. SPENCE, "Tra	ınsm	utation of t	he Ek	ements", Nature, 172:	606-607 (Oc	tober 1953)				
	<ul> <li>R. SPENCE, "Transmutation of the Elements", Nature, 172:606-607 (October 1953)</li> <li>Y. SHARABI, et al., "Specific Tolerance Induction Across a Xenogeneic Barrier: Production of Mixed Rat/Mouse Lymphohematopoletic Chimeras Using a Nonlethal Preparative Regimen", J. Exp. Med. 172(1):195 (1990)</li> </ul>							f J.				
	24 MAYUMI and GOOD, "Long-Lasting Skin Allograft Tolerance in Adult Mice Induced Across Fully Allogeneic (Multimajor H-2 Plus Multiminor Histocompatibility) Antigen Barriers by a Tolerance Inducing Method Using Cyclophosphamide", J. Exp. Med., 169:213 (1989)								ully ce-			
	25	COBBOLD, et al., "Reprogramming the Immune System for Peripheral Tolerance with CD4 and CD8 Monoclonal Antibodies", Immunol. Rev., 129:165 (1992)							ıd			
								,				
EXAMINER	EXAMINER SIGNATURE						DATE CON	SIDERED				
EXAMINER:	Initial i	if citation conside	red, v	whether or nsidered. Ir	not ci	itation is in conformate copy of this form with	nce with MF th next com	PEP 609; Dra	w line thro	ough nt.		